

Instructor's name	ROSA CALDERAZZI
BA	- ECONOMICS OF FINANCIAL MARKETS AND INSTITUTIONS
Academic year	2015/2016
Term	First/
Credits	8
Subject area	IUS05

Course of Financial Markets Law (a.a. 2015/2016)

(Prof. CALDERAZZI ROSA)

Università degli Studi di Bari Aldo Moro

BA ECONOMICS OF FINANCIAL MARKETS AND INSTITUTIONS

COURSE OUTLINES

Program

“Constitutional” Principles, public powers and regulative sources concerning the financial markets

The Italian and European supervisory

The notion of movable market: instruments, movable values and financial products

The institutional investors. The saving managing companies and the common funds of investment. The SICAV.

The pension fund.

The public saving appeal: cases in question. Investment request (o incentives): the informative statement; the development of the operation (o transaction); the control systems; the advertising announcements. The public supply of purchase or exchange: the document supply; the development of the operation (o transaction); the public supply of obligatory purchase. The responsibility statement. The outside and remote supplies.

Objectives

The aim of the course is to make the students acquainted with the financial markets functioning with a special focus on the Supervisory Authority and on the public saving appeal. The study of the discipline peculiarities as well as of the individual and general interests involved in the market working will take place also by analyzing jurisprudential decisions and Consob communications.

Textbooks

AMOROSINO S., *Manuale di diritto del mercato finanziario*, Giuffrè, Milano, latest edition

ANNUNZIATA F., *La disciplina del mercato mobiliare*, Giappichelli, Torino, latest edition

COSTI R., *Il mercato mobiliare*, Giappichelli, Torino, latest edition

Assessment methods

- Assignment: No
- Written exam without oral presentation: No
- Oral presentation: Yes

Tutorials

- No

Teaching methods

- Internal series of lessons: No

- Integrative courses: No
- Training: Yes
- Seminars: Yes
- Laboratory activity: No
- Project work: No
- Visits: No